

## Electronic Acknowledgement Receipt

|   |                                 |
|---|---------------------------------|
| <b>EFS ID:</b>                              | 4474442                         |
| <b>Application Number:</b>                  | 10829119                        |
| <b>International Application Number:</b>    |                                 |
| <b>Confirmation Number:</b>                 | 6481                            |
| <b>Title of Invention:</b>                  | Systems and methods for trading |
| <b>First Named Inventor/Applicant Name:</b> | Stuart A. Fraser                |
| <b>Customer Number:</b>                     | 63710                           |
| <b>Filer:</b>                               | Glen Farbanish/LAURA ALTO       |
| <b>Filer Authorized By:</b>                 | Glen Farbanish                  |
| <b>Attorney Docket Number:</b>              | 99-1002-C1                      |
| <b>Receipt Date:</b>                        | 17-DEC-2008                     |
| <b>Filing Date:</b>                         | 20-APR-2004                     |
| <b>Time Stamp:</b>                          | 15:31:29                        |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)     |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$ 1650         |
| RAM confirmation Number                  | 968             |
| Deposit Account                          | 503938          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

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## File Listing:

| Document Number | Document Description | File Name                             | File Size(Bytes)/<br>Message Digest                   | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|---------------------------------------|---|------------------|------------------|
| 1               | Extension of Time    | 99-1002-<br>C1_081217_Ext_of_Time.pdf | 19844<br><br>c92d4a30636fd393f59fd48b7d99d3a991a03396 | no               | 1                |

### Warnings:

### Information:

|   |                        |   |   |    |   |
|---|------------------------|---|---|----|---|
| 2 | Notice of Appeal Filed | 99-1002-<br>C1_081217_Appeal_Notice.pdf | 21399<br><br>f61457bfd964e8d34d7cc6bbe9dabae1f9db9def | no | 1 |
|---|------------------------|---|---|----|---|

### Warnings:

### Information:

|   |                              |   |   |    |   |
|---|------------------------------|---|---|----|---|
| 3 | Pre-Brief Conference request | 99-1002-C1_081217_pre-<br>appeal-brief-conf.pdf | 43357<br><br>056f6250a12d4159a06ba28482d798af504d2f22 | no | 4 |
|---|------------------------------|---|---|----|---|

### Warnings:

### Information:

|   |                        |              |  |    |   |
|---|------------------------|--------------|--|----|---|
| 4 | Fee Worksheet (PTO-06) | fee-info.pdf | 31813<br><br>1eca4b83db18ba280b4fd1c1679cfce5c86a721 | no | 2 |
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### Information:

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| <b>Total Files Size (in bytes):</b> | 116413 |
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.